

11 South Meridian Street
Indianapolis, Indiana 46204
(317) 236-1313

PATENT APPLICATION*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

Group: Unknown

Attorney

Docket: 31200-69244 (Previously JOANNOU)

Applicant: JOANNOU, George Eustace

Invention: ISOFLAVONE METABOLITES

U.S. Serial No.: 10/018,308 (Postal Card)

International. Serial No.: PCT/AU00/00392

International Filing Date: 01 May 2000 (01.05.00)

Earliest Priority Date: 30 April 1999 (30.04.99)

Certificate Under 37 CFR 1.10

Express Mail Label No. EL230048299US

Date of Deposit: 24 January 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231

Mary Jean Eskridge

Typed or Printed Name of Person Mailing Paper or Fee

Mary Jean Eskridge

Signature of Person Mailing Paper or Fee

TRANSMITTAL OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371
TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Attention: DO/EO/US
Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits herewith an executed Declaration and Power of Attorney--Patent Application.

Please note that no NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) has been received.

Applicant believes all required national fees and the surcharge for furnishing the oath or declaration later than 30 months from the earliest claim priority date were paid on 30 October 2001 (30.10.01). In the event a fee is due, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit

Account No. 10-0435 using Attorney Docket No. 31200-69244. A duplicate of this Transmittal is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard D. Conard".

Richard D. Conard
Attorney Reg. No. 27321

RDC/mje/28070

Attachments: Duplicate Transmittal of Missing Requirements
Executed Declaration and Power of Attorney-Patent Application (2 sheets)

Indianapolis, Indiana 46204
(317) 231-7285

BARNES & THORNBURG

11 South Meridian Street
Indianapolis, Indiana 46204
(317) 236-1313

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group:	Unknown	}
		}
Attorney		}
Docket:	31200-69244 (Previously JOANNOU)	}
		}
Applicant:	JOANNOU, George Eustace	}
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		}
International Filing Date:	01 May 2000 (01.05.00)	}
		}
Earliest Priority Date:	30 April 1999 (30.04.99)	}

CERTIFICATE UNDER 37 C.F.R. § 1.10

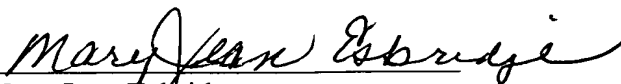
Attention: DO/EO/US
Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

"Express Mail" Mailing Label No.: EL230048299US

Date of Deposit: 24 January 2002 (24.01.02)

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, D.C. 20231.


Mary Jean Eskridge

28042
Indianapolis, Indiana
(317) 231-7303

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 31200-69244 (JOANNOU) U.S. APPLICATION NO. (If known, see 37 CFR 1.5 10/018,308
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			
INTERNATIONAL APPLICATION NO. PCT/AU00/00392	INTERNATIONAL FILING DATE 01 May 2000	PRIORITY DATE CLAIMED 30 April 1999	
TITLE OF INVENTION ISOFLAVONE METABOLITES			
APPLICANT(S) FOR DO/EO/US JOANNOU, George Eustace			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (2 sheets) 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Transmittal of Missing Requirements Under 35 U.S.C. 371 to the United States Designated/Elected Office (DO/EO/US) (2 sheets in duplicate)			

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/018,308

INTERNATIONAL APPLICATION NO.

PCT/AU00/00392

ATTORNEY'S DOCKET NUMBER

31200-69244 (JOANNOU)

21. ☐ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

PAID**\$0 October 2001**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =		x \$18.00	\$
Independent claims	- 3 =		x \$84.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2. +

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$

Amount to be
refunded:

\$

charged:

\$

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. **10-0435**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**CONARD, Richard D.
BARNES & THORNBURG
11 South Meridian Street
Indianapolis, IN 46204
US**

SIGNATURE

Richard D. Conard

NAME

27321

REGISTRATION NUMBER